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U.S. PTO  
10/723900



112503

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))</small>		<b>Attorney Docket No.</b> IN-5653	
		<b>First Named Inventor</b> Charles L. TAZZIA.	
		<b>Filing Date</b> November 25, 2003	
		<b>Title</b> A METHOD FOR MAKING AN AQUEOUS COATING WITH A SOLID CROSSLINKING AGENT	
		<b>Express Mail Certificate</b> ER047859548US	
<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>		<b>ADDRESS TO:</b> Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450	

1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b> <small>(Submit an original and a duplicate for fee processing)</small> 2. <input type="checkbox"/> <b>Applicant claims small entity status.</b> <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <b>18</b> ] <small>-(preferred arrangement set forth below)</small> - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input type="checkbox"/> <b>Drawing(s) (35 U.S.C.113)</b> [Total Sheets <input type="checkbox"/> ] 5. <input checked="" type="checkbox"/> <b>Oath or Declaration</b> [Total Sheets <b>02</b> ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting</small> 6. <input checked="" type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76	7. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b> 8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b> b. <b>Specification Sequence Listing on:</b> i. <input type="checkbox"/> <b>CD-ROM or CD-R (2 copies); or</b> ii. <input type="checkbox"/> <b>paper</b> <input type="checkbox"/> <b>Statements verifying identity of above copies</b>
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<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input checked="" type="checkbox"/> <b>Assignment Papers (cover sheet &amp; document(s))</b> 10. <input checked="" type="checkbox"/> <b>37 CFR§3.73(b) Statement</b> <input type="checkbox"/> <b>Power Of Attorney</b> <small>(when there is an assignee)</small> 11. <input type="checkbox"/> <b>English Translation Document (if applicable)</b> 12. <input type="checkbox"/> <b>Information Disclosure Statement (IDS)/ PTO-1449</b> <input type="checkbox"/> <b>Copies of IDS Citations</b> 13. <input type="checkbox"/> <b>Preliminary Amendment</b> 14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b> <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> <b>other:</b> ..... .....	

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ **Continuation**
☐ **Divisional**
☐ **Continuation-in-part (CIP) of prior application No \_\_\_\_\_, filed on \_\_\_\_\_.**

**Prior application information:** \_\_\_\_\_ **Examiner** \_\_\_\_\_ **Group/Art Unit:** \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> <b>Customer Number</b>	<div style="border: 1px solid black; padding: 5px; display: inline-block;">26922</div>	or	<input type="checkbox"/> <b>Correspondence address below</b>
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<b>Country</b>	USA	<b>Telephone</b>	(248) 948-2355	<b>Fax</b>	(248) 948-2093
<b>Name (print Type)</b>	Anne Gerry Sabourin	<b>Registration No. (Attorney Agent)</b>	33,772		
<b>Signature</b>	<i>Anne G. Sabourin</i>		<b>Date</b>	November 25, 2003	

**FEE TRANSMITTAL**

For FY 2002

Patent fees are subject to annual revision.

Attorney Docket No.

IN-5653

First Named Inventor

Charles L. TAZZIA

Filing Date

November 25, 2003

Title

A METHOD FOR MAKING AN AQUEOUS COATING  
WITH A SOLID CROSSLINKING AGENT

Express Mail Certificate

ER047859548US

**TOTAL AMOUNT OF PAYMENT** \$810.00**METHOD OF PAYMENT (check all that apply)**☒ Deposit Account: ☐ Credit Card ☐ Check

Deposit Account Number

23-3425

Deposit Account Name

BASF CORPORATION

**The Commissioner is authorized to: (check all that apply)**☒ Change fees indicated below ☒ Charge the Issue Fee Set in 37 CFR §☒ Change any addition fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE****Large Entity**

Fee	Code	Fee Description
101	740	Utility filing fee
106	330	Design filing fee
107	540	Plant filing fee
108	790	Reissue filing fee
114	160	Provisional filing fee

\$770.00

**SUBTOTAL (1)** \$770.00**2. EXTRA CLAIM FEES**

Extra Claims Fee from below Fee Paid

Total Claims 12 -20\*\* = 0 x 18 = \$

Independent Claims 02 - 3\*\* = 0 x 84 = \$

Multiple Dependent

280 =

\*\*Or number previously paid, if greater; For Reissues, see below

Large Entity

Fee Fee

Code (\$)

103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claims, if not paid
109	82	**Reissue independent claims over original patent
110	22	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

SIR after Examiner action

**3. ADDITION FEES**

Large	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)		
105	130	Surcharge -late filing fee or oath	
147	2,520		
		SIR after Examiner action	
		SIR after Examiner action	
127	50	provisional ailing or cover sheet	
139	130	Non-English specification	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	320	Notice of Appeal	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,280	Petition to revive-unintentional	
142	1,280	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information Disclosure Statement	
581	40	Recording each patent	\$40.
		Assignment per property (time number of properties)	
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37 CFR 1.129(b)) (specify)	
Other fee			
Reduced By Basic Fee Paid Filing		<b>SUBTOTAL (3)</b>	\$40.

**18. CORRESPONDENCE ADDRESS**☒ Customer Number

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or

☐ Correspondence address below

Country

USA

Telephone

(248) 948-2021

Fax

(248) 948-2093

Name (print Type)

Anne Gerry Sabourin

Registration No. (Attorney Agent)

33,772

Signature

*Anne G. Sabourin*

Date

November 25, 2003

PATENT

(Practitioner's Docket No. IN-5653)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles L. TAZZIA

US Serial No. Herewith

Filed: Tuesday, November 25, 2003

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: **A METHOD FOR MAKING AN AQUEOUS COATING WITH A SOLID CROSSLINKING AGENT**

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number **ER047859548US**

Date of Deposit

**November 25, 2003**

I hereby certify that the following attached paper or fee

☒

Application Data Sheet

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

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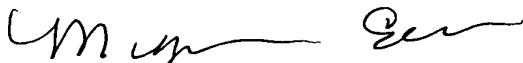
I hereby certify that the following attached paper or fee

☒ **Combined Declaration and Power of Attorney on 2 pages**

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Marjorie Ellis

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Signature of person mailing paper or fee)

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**November 25, 2003**

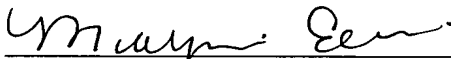
I hereby certify that the following attached paper or fee

<input checked="" type="checkbox"/>	English Application						
Specification on	<table border="1"><tr><td>14</td></tr></table>	14	pages;	claims on	<table border="1"><tr><td>03</td></tr></table>	03	pages;
14							
03							
the abstract on	<table border="1"><tr><td>01</td></tr></table>	01	page(s);	drawings on	<table border="1"><tr><td></td></tr></table>		page(s).
01							

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